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# On $\phi$ - Classes of Submodules

Arwa Eid Ashour Department of Mathematics, The Islamic University of Gaza, Palestine Mohammed Mahmoud AL-Ashker Department of Mathematics, The Islamic University of Gaza, Palestine Al-Hussain Kamal Abu oda Department of Mathematics, The Islamic University of Gaza, Palestine

#### **Abstract**

Let R be a commutative ring with identity and let M be a unitary R-module. Let S(M) be the set of all submodules of M and  $\phi:S(M)\to S(M)\cup\{\emptyset\}$  be a function. A proper submodule N of M is said to be a  $\phi$ -prime (resp. a  $\phi$ -primary) submodule if  $am\in N-\phi(N)$  for  $a\in R$ ,  $m\in M$  implies that either  $m\in N$  or  $a\in (N:M)$  (resp.  $a\in \sqrt{(N:M)}$ ). These concepts were introduced by N. Zamani and M. Bataineh, in this paper, we study the concept of  $\phi$ -primary submodule in details. Also, we introduce the concepts of  $\phi$ -primal submodules and  $\phi$ -2-absorbing submodules.

**Keywords:**  $\phi$ -prime submodules,  $\phi$ -primary submodules,  $\phi$ -primal submodules,  $\phi$ -prime to submodule,  $\phi$ -2-absorbing submodules.

#### 1 Introduction

Let R be a commutative ring with identity and let M be a unitary R - module. Let S(M) be the set of all submodules of M and  $\phi:S(M)\to S(M)\bigcup \{\emptyset\}$  be a function. A proper submodule N of M is said to be a  $\phi$ -prime submodule if am  $\in$  N -  $\phi(N)$  for a  $\in$  R, m  $\in$  M implies that either m  $\in$  N or a  $\in$  (N : M). This definition was introduced by Zamani and Khaksari as a generalization of prime submodules that covers the definitions of prime, weakly prime, almost prime and m-almost prime submodules, see [14] and [20]. In our work, we study the concept of  $\phi$ -primary submodule that was introduced in [15] in more details. We clarify that this definition is a generalized of primary submodules that covers the definition of primary, weakly primary, almost primary and m-almost primary submodules.

Let  $\phi: J(R) \longrightarrow J(R) \bigcup \{\emptyset\}$  be a function with J(R) the set of all ideals of R. Let I be an ideal of R, an element  $a \in R$  is called  $\phi$ -prime to I if  $ra \in I$  - $\phi(I)$  (with  $r \in R$ ) implies that  $r \in I$ . We denote by  $S_{\phi}(I)$  the set of all elements of R that are not  $\phi$ -prime to I. I is called a  $\phi$ -primal ideal of R if the set  $P = S_{\phi}(I) \bigcup \phi(I)$  forms an ideal of R. The concept of  $\phi$ -primal ideal over commutative ring was introduced by Darani (see[8]). In our work, we generalize the concept of  $\phi$ -primal ideal to  $\phi$ -primal submodule. We also, introduce the concept of  $\phi$ -2-absorbing submodules which is a generalization to 2-absorbing submodules.

#### 2 Basic Concepts

In this section, we recall some basic definitions and study some important results that we need throughout this paper.

- **Definition 2.1.** [17] Let M be an R-module. A proper submodule N of M is said to be a prime submodule if whenever  $rm \in N$  for  $r \in R$  and  $m \in M$  we get either  $m \in N$  or  $rM \subseteq N$  (equivalent  $r \in (N : M)$ ).
- **Definition 2.2.** [4] Let M be an R-module and N be a proper submodule of M. N is called a *weakly prime submodule* of M if, whenever  $r \in R$  and  $m \in M$  such that  $0 \neq rm \in N$ , then either  $m \in N$  or  $r \in (N : M)$ .
- **Definition 2.3.** [15] Let M be an R-module. A proper submodule N of M is called an almost prime submodule of M if, whenever  $r \in R$  and  $m \in M$  such that  $rm \in N (N : M)N$ , then either  $m \in N$  or  $r \in (N : M)$ .
- **Definition 2.4.** [18] Let M be an R-module. A proper submodule N of M is said to be a primary submodule if  $rm \in N$  for  $r \in R$  and  $m \in M$  implies that either  $m \in N$  or  $r^nM \subseteq N$  for some positive integer n.
- **Definition 2.5.** [3] A proper submodule N of a module M over a commutative ring R is said to be a weakly primary submodule if whenever  $0 \neq rm \in N$ , for some  $r \in R$ ,  $m \in M$ , then  $m \in N$  or  $r^nM \subseteq N$  for some  $n \in \mathbb{N}$ .
- **Definition 2.6.** [16] Let M be an R-module and N a proper submodule of M, N is called an almost primary submodule of M if, whenever  $r \in R$ ,  $m \in M$  such that  $rm \in N (N : M)N$ , then either  $m \in N$  or  $r \in \sqrt{(N : M)}$ .
- **Definition 2.7.** [12] Let M be an R-module and N a submodule of M. The element  $a \in R$  is (left) prime to N if  $am \in N$  ( $m \in M$ ) implies  $m \in N$ . The subset A of R is uniformly not prime to N, if there exists an element  $u \in M N$  with  $Au \subseteq N$ .
- **Definition 2.8.** [12] Let M be an R-module and N a submodule of M. The adjoint of N is the set of all elements of R that are not prime to N and denoted by adj(N). On other words,  $adj(N) = \{r \in \mathbb{R} : rm \in \mathbb{N} \text{ for some } m \in M N\}.$
- **Definition 2.9.** [12] Let M be an R-module. A proper submodule N of M is said to be primal if adj(N) forms an ideal of R. In this case the adjoint of N will also be called the adjoint ideal of N.
- **Definition 2.10.** [5] Let N be a submodule of an R-module M. An element  $r \in R$  is called weakly prime (simply wp) to N if  $0 \neq rm \in N$  ( $m \in M$ ) implies that  $m \in N$ . Otherwise r is not weakly prime (simply nwp) to N. Denote by W(N) the set of elements of R that are nwp to N.
- **Definition 2.11.** [5] Let R be a commutative ring and let N be a proper submodule of an R-module M. N is called *weakly primal* if the set  $P = W(N) \cup \{0\}$  forms an ideal of R. P is called the *(weakly) adjoint ideal of N* and we also say that N is a *P-weakly primal submodule of M*.
- The concept of almost primal ideals in a commutative ring was introduced by A.Y. Darani in [11]. Let R be a ring and let I be a proper ideal of R. An element  $a \in R$  is called almost prime to I if  $ra \in I$ -I<sup>2</sup> (with  $r \in R$ ) implies that  $r \in I$ . We denote by A(I) the set of all elements of R that are not almost prime to I. A proper ideal I is called almost primal if the set  $P = A(I) \cup I^2$  forms an ideal of R. This ideal P is an almost prime ideal of R, called the almost prime adjoint ideal of I. In this case we also say that I is a P-almost primal ideal. Now we give some definitions and result in almost primal submodules.
- **Definition 2.12.** Let M be an R-module and N a submodule of M. The element  $a \in R$  is (left) almost prime to N if  $am \in N$  -(N : M)N ( $m \in M$ ) implies  $m \in N$ . Denote by A(N) the set of elements of R that are not almost prime to N.
- **Definition 2.13.** Let R be a commutative ring and let N be a proper submodule of an R-module M. N is called almost primal if the set  $P = A(N) \cup (N : M)N$  forms an ideal of R. P is called the *(almost)* adjoint ideal of N and we also say that N is a P-almost primal submodule of M.

**Theorem 2.14.** Let P be an ideal of a commutative ring R. Let N be a proper submodule of R-module M. The following are equivalent:

- (1) N is P-almost primal.
- (2) For every  $x \notin P$  (N:M)N,  $(N:x) = N \bigcup ((N:M)N:x)$  and for  $x \in P$  (N:M)N,  $(N:x) \supseteq N \bigcup ((N:M)N:x)$ .

*Proof.* (1) ⇒ (2) Assume that N is P-almost primal then P-(N: M)N = A(N). Let  $x \notin P$  - (N: M)N then x is almost prime to N. Clearly N ∪ ((N: M)N: x) ⊆ (N: x). For every  $m \in (N: x)$ , if  $mx \in (N: M)N$  then  $m \in ((N: M)N: x)$  and if  $mx \notin (N: M)N$  then x is almost prime to N, gives  $m \in N$ . Hence  $m \in N \cup ((N: M)N: x)$ , that is  $(N: x) \subseteq N \cup ((N: M)N: x)$ . Therefor  $(N: x) = N \cup ((N: M)N: x)$ . Now assume that  $x \in P - (N: M)N$  then x is not almost prime to N so  $\exists m \in M$ -N such that  $x \in N - (N: M)N$ . So  $x \in M$  then x is not almost prime to N so  $x \in M$  thence,  $x \in M$  thence, x

 $(2) \Longrightarrow (1)$  We want to prove that P-(N: M)N consists exactly of all elements of R that are not almost prime to N. Hence N is P-almost primal.

Let  $x \notin P - (N:M)N$ , then  $(N:x) = N \cup ((N:M)N:x)$ . We want to prove that  $x \notin A(N)$ . Let  $xm \in N - (N:M)N$  with  $m \in M$ . So,  $m \in (N:x)$ . By assumption, either (N:x) = N or (N:x) = ((N:M)N:x). As  $xm \in N - (N:M)N$ , so  $m \notin ((N:M)N:x)$ . Thus,  $m \in N$  and hence,  $x \notin A(N)$ . Conversely, let  $x \in P - (N:M)N$ , then  $(N:x) \supseteq N \cup ((N:M)N:x)$ , so,  $\exists m \in (N:x)$  such that  $m \notin (N \cup ((N:M)N:x))$ . Therefore,  $m \notin N$  and  $m \notin ((N:M)N:x)$ . Thus  $xm \in N - (N:M)N$  with  $m \notin N$ , so x is not almost prime to  $x \in A(N)$ .

**Proposition 2.15.** Let N be asubmodule of R-module M. If N is almost primal submodule, then  $P = A(N) \bigcup (N : M)N$  is almost prime ideal of R.

*Proof.* Suppose that  $r,s \notin P$ , we show that either  $rs \in P^2$  or  $rs \notin P$ . Assume that  $rs \notin P^2$ . Let  $rsm \in N$ -(N: M)N for some  $m \in M$ . Then, by Theorem 2.14 gives that  $rm \in (N:s)$ = N  $\bigcup ((N:M)N:s)$  where  $rm \notin ((N:M)N:s)$ ; hence  $rm \in N$  which implies that  $rm \in N$ -(N: M)N. Thus  $m \in (N:r) = N \bigcup ((N:M)N:r)$ , and so  $m \in N$ . Therefore, rs is almost prime to N and  $rs \notin P$  as required. □

**Definition 2.16.** [1] Let R be ring. Let  $\phi : I(R) \to I(R) \cup \{\emptyset\}$  be a function where I(R) is the set of all ideals of R. A proper ideal I of R is a  $\phi$ -prime ideal if a, b  $\in$  R with ab  $\in$  I -  $\phi(I)$  implies a  $\in$  I or b  $\in$  I.

**Definition 2.17.** [20] Let R be a commutative ring with identity and M be a unitary R-module. Let S(M) be the set of all submodules of M, and let  $\phi : S(M) \to S(M) \cup \{\emptyset\}$  be a function. A proper submodule N of M is called  $\phi$ -prime submodule if  $a \in R$ ,  $x \in M$  with ax  $\in N$  -  $\phi(N)$  implies that  $a \in (N : M)$  or  $x \in N$ .

**Definition 2.18.** [9] Let R be a commutative ring with unity and M an R-module. A proper submodule N of M is said to be a 2-absorbing submodule if whenever a,  $b \in R$  and  $m \in M$  with  $abm \in N$  then  $ab \in (N : M)$  or  $am \in N$  or  $bm \in N$ .

**Definition 2.19.** [9] Let R be a commutative ring and M an R-module. A proper submodule N of M is said to be *weakly 2-absorbing submodule* if whenever a,  $b \in R$ ,  $m \in M$  with  $0 \neq abm \in N$  then  $ab \in (N : M)$  or  $am \in N$  or  $bm \in N$ .

The following proposition study the relations between the previous submodules, which were proved in [7], [3], [4], [16], [10], [9].

**Proposition 2.20.** Let M be a module over a commutative ring and N a submodule of M. Then

- (1) N is prime  $\rightarrow$  N is weakly prime submodule  $\rightarrow$  N is almost prime submodule.
- (2) N is primary  $\rightarrow$  N is weakly primary submodule  $\rightarrow$  N is almost primary submodule.

- (3) N is almost prime submodule  $\rightarrow$  N is almost primary submodule.
- (4) N is prime submodule  $\rightarrow$  N is primary submodule  $\rightarrow$  N is primal submodule.
- (5) N is prime submodule  $\rightarrow$  N is 2-absorbing submodule  $\rightarrow$  N is weakly 2-absorbing submodule.
- (6) N is weakly prime submodule  $\rightarrow$  N is weakly 2-absorbing submodule.

## 3 $\phi$ - Primary Submodules

Let S(M) be the set of all submodules of M, and  $\phi : S(M) \longrightarrow S(M) \cup \{\emptyset\}$  be a function. Then we have the following definition.

**Definition 3.1.** [7] A proper submodule N of M is called  $\phi$  - primary submodule if  $a \in \mathbb{R}$ ,  $x \in M$  with  $ax \in \mathbb{N}$  -  $\phi(N)$  implies that  $x \in \mathbb{N}$  or  $a^k \in (\mathbb{N} : M)$ , for some positive integer k. In other word,  $x \in \mathbb{N}$  or  $a \in \sqrt{(N : M)}$ .

**Example 3.2.** Let R be a commutative ring. Let M be an R-module. Let S(M) be the set of all submodules of M. Define the following type of the functions  $\phi_{\alpha}: S(M) \longrightarrow S(M) \cup \{\emptyset\}$  and the corresponding  $\phi_{\alpha}$  - primary submodules as follows:

- 1)  $\phi_{\emptyset}$ :  $\phi_{\emptyset}(N) = \emptyset$ ,  $\forall N \in S(M)$ , defines primary submodules.
- 2)  $\phi_0: \phi_0(N) = \{0\}, \forall N \in S(M), defines weakly primary submodules.$
- 3)  $\phi_1:\phi_1(N)=N, \forall N\in S(M), defines any submodule N.$
- 4)  $\phi_2:\phi_2(N)=(N:M)N, \forall N\in S(M), defines almost primary submodules.$
- 5)  $\phi_w:\phi_w(N)=\bigcap_{i=1}^\infty(N:M)^iN, \ \forall \ N\in S(M), \ defines \ \phi_w$ -primary submodules.
- 6)  $\phi_n: \phi_n(N) = (N:M)^{n-1}N, n \geq 2, \forall N \in S(M), defines n-almost primary submodules.$

Remarks 3.3. (1) Since  $N - \phi(N) = N - (N \cap \phi(N))$ , so without loss of generality, throughout this thesis we will consider  $\phi(N) \subseteq N$  for any  $N \in S(M)$ .

- (2) For functions  $\phi$ ,  $\psi$  :S(M) $\longrightarrow$  S(M) $\cup$  { $\emptyset$ }, we write  $\phi \le \psi$  if  $\phi(N) \subseteq \psi(N) \ \forall \ N \in S(M)$ .
- (3) Observe that  $\phi_{\emptyset} \leq \phi_0 \leq \phi_w \leq ... \leq \phi_{n+1} \leq \phi_n \leq ... \leq \phi_2 \leq \phi_1$ .

**Proposition 3.4.** Let R be a commutative ring and N be a submodule of R-module M. (1)Let  $\psi_1, \psi_2 : S(M) \to S(M) \cup \{\emptyset\}$  be functions with  $\psi_1 \leq \psi_2$ . Then N is  $\psi_1$ -primary implies N is  $\psi_2$ -primary.

(2)Let  $\phi: S(M) \to S(M) \cup \{\emptyset\}$  be functions. If N is  $\phi$ -prime then N is  $\phi$ -primary. (3)N is primary  $\Longrightarrow$  N is weakly primary  $\Longrightarrow$  N is  $\phi_w$ -primary  $\Longrightarrow$  N is  $\phi_{n+1}$ -primary  $\Longrightarrow$   $\phi_n$ -primary  $(n \ge 2) \Longrightarrow$  N is almost primary.

*Proof.* (1)Assume that N is  $\psi_1$ -primary. Let  $rm \in N - \psi_2(N)$  for  $r \in \mathbb{R}$ ,  $m \in M$  then  $rm \in N - \psi_1(N)$ . Since N is  $\psi_1$ -primary,  $r^k \in (\mathbb{N} : \mathbb{M})$  for some  $k \in \mathbb{N}$  or  $m \in \mathbb{N}$ . Hence N is  $\psi_2$ -primary.

- (2) Is trivial and follows immediately from the definition.
- (3) This follows from (1) and the ordering of the  $\phi_{\alpha}$ 's given in Remark 3.3.

**Theorem 3.5.** Let R be a commutative ring and M be an R-module. Let  $\phi: S(M) \to S(M) \cup \{\emptyset\}$  be a function. Let N be a  $\phi$ -primary submodule of M. If  $(N:M)N \nsubseteq \phi(N)$  then N is a primary submodule of M.

Proof. Let  $a \in R$  and  $x \in M$  be such that  $ax \in N$ . If  $ax \notin \phi(N)$ , then since N is  $\phi$ -primary, we have  $a^k \in (N:M)$  for some  $k \in \mathbb{N}$  or  $x \in N$ . So let  $ax \in \phi(N)$ . In this case we may assume that  $aN \subseteq \phi(N)$ , because if  $aN \nsubseteq \phi(N)$  then there exists  $p \in N$  such that  $ap \notin \phi(N)$ , so that  $a(x+p) \in N - \phi(N)$ . Therefore  $a \in \sqrt{(N:M)}$  or  $x + p \in N$  and hence  $a \in \sqrt{(N:M)}$  or  $x \in N$ . Second we may assume that  $(N:M)x \in \phi(N)$ . If this is not the case, there exists  $u \in (N:M)$  such that  $ux \notin \phi(N)$  and so  $(a+u)x \in N - \phi(N)$ . Since N is a  $\phi$ -primary submodule, we have  $a + u \in \sqrt{(N:M)}$  or  $x \in N$ . So  $a \in \sqrt{(N:M)}$  or  $x \in N$ . Now since  $(N:M)N \nsubseteq \phi(N)$ , there exist  $x \in (N:M)$  and  $x \in N$  such that  $x \in K$  such t

So  $(a + r)(x + p) \in N$  -  $\phi(N)$ , and hence  $a + r \in \sqrt{(N : M)}$  or  $x + p \in N$ . Therefore  $a \in \sqrt{(N : M)}$  or  $x \in N$ . Thus N is primary submodule.

Corollary 3.6. Let N be a weakly primary submodule of M such that  $(N:M)N \neq 0$ . Then N is a primary submodule of M.

*Proof.* In the above theorem, set  $\phi = \phi_0$ .

Remark 3.7. Suppose that N is a  $\phi$ -primary submodule of M such that  $\phi(N) \subseteq (N:M)N$  (resp.  $\phi(N) \subseteq (N:M)^2N$ ) and that N is not a primary submodule. Then by Theorem 3.5, we have  $\phi(N) = (N:M)N$  (resp.  $\phi(N) = (N:M)^2N$ ). In particular if N is a weakly primary (resp.  $\phi_3$  - primary) submodule but not primary submodule then (N:M)N = 0 (resp.  $(N:M)N = (N:M)^2N$ ).

**Theorem 3.8.** [3] Let  $R = R_1 \times R_2$  where each  $R_i$  is a commutative ring with identity. Let  $M_i$  be  $R_i$ -module  $\forall i \in \{1,2\}$ , and  $M = M_1 \times M_2$  be an R-module with  $(r_1, r_2)(m_1, m_2) = (r_1m_1, r_2m_2)$ , where  $r_i \in R_i$ ,  $m_i \in M_i$ . Then,

- (1)If N<sub>1</sub> is a primary submodule of M<sub>1</sub>, then N<sub>1</sub> × M<sub>2</sub> is a primary submodule of M.
  (2) If N<sub>2</sub> is a primary submodule of M<sub>2</sub>, then M<sub>1</sub> × N<sub>2</sub> is a primary submodule of M.
- Remark 3.9. The above theorem is not true for correspondence  $\phi$  primary submodules in general, for example if  $N_1$  is a  $\phi_0$ -primary submodule of  $M_1$  then  $N_1 \times M_2$  is not necessarily a  $\phi_0$ -primary submodule of  $M_1 \times M_2$ . Let  $R_1 = R_2 = M_1 = M_2 = Z_{14}$ , and suppose  $N_1 = 0$ . Then evidently  $N_1$  is a  $\phi_0$ -primary submodule of  $M_1$ . However,  $(2, 1)(7, 1) \in N_1 \times M_2$ , and

**Proposition 3.10.** Let  $R_1$  and  $R_2$  be two commutative rings, with  $R = R_1 \times R_2$ ,  $M_1$  and  $M_2$  be  $R_1$  and  $R_2$  - modules respectively. Let  $M = M_1 \times M_2$  be an R-modules with  $(r_1, r_2)(m_1, m_2) = (r_1m_1, r_2m_2)$  where  $r_i \in R_i$ ,  $m_i \in M_i$ . Let  $\phi : S(M) \to S(M) \cup \{\emptyset\}$  be a function. Suppose that  $N_1$  is a weakly primary submodule of  $M_1$  such that  $\{0\} \times M_2 \subseteq \phi(N_1 \times M_2)$ . Then  $N_1 \times M_2$  is a  $\phi$ -primary submodule of  $M_1 \times M_2$ .

 $(7,1) \notin N_1 \times M_2$ . Also  $(2,1)^k(2,1) \notin N_1 \times M_2$  for any  $k \in \mathbb{N}$ ,  $(2,1)^k \to M \nsubseteq N_1 \times M_2$ .

Proof. Let  $(r_1, r_2)(x_1, x_2) = (r_1x_1, r_2x_2) \in N_1 \times M_2 - \phi(N_1 \times M_2)$ , but  $N_1 \times M_2 - \phi(N_1 \times M_2) \subseteq N_1 \times M_2 - \{0\} \times M_2 = (N_1 - \{0\}) \times M_2$ . We have  $r_1x_1 \in N_1 - \{0\}$  and by the assumption on  $N_1$  we have  $r_1^k \in (N_1 :_{R_1} M_1)$  for some positive integer k or  $x_1 \in N_1$ . This gives that  $(r_1, r_2)^k = (r_1^k, r_2^k) \in (N_1 :_{R_1} M_1) \times R_2 = (N_1 \times M_2 :_{R_1 \times R_2} M_1 \times M_2)$  for some positive integer k or  $(x_1, x_2) \in N_1 \times M_2$ . Therefore  $N_1 \times M_2$  is a φ-primary submodule of  $M_1 \times M_2$ . □

**Proposition 3.11.** Let  $R_1$  and  $R_2$  be two commutative rings,  $M_1$  and  $M_2$  be  $R_1$  and  $R_2$  -modules respectively. Let  $M = M_1 \times M_2$  and  $\phi : S(M) \to S(M) \cup \{\emptyset\}$  be a function. such that  $\phi_w \leq \phi$ . Then for any weakly primary submodule  $N_1$  of  $M_1$ ,  $N_1 \times M_2$  is a  $\phi$  - primary submodule of  $M_1 \times M_2$ .

Proof. If  $N_1$  is a primary submodule of  $M_1$ , then  $N_1 \times M_2$  is primary submodule of M, (see Theorem 3.8), and so a  $\phi$  - primary submodule of  $M_1 \times M_2$ . Suppose that  $N_1$  is not a primary submodule of  $M_1$ . Then by Remark 3.7, we have  $(N_1 :_{R_1} M_1)N_1 = \{0\}$ . This gives that  $(N_1 \times M_2 :_{R_1 \times R_2} M_1 \times M_2)^i (N_1 \times M_2) = [(N_1 :_{R_1} M_1)^i N_1] \times M_2 = \{0\} \times M_2$ , for all  $i \geq 1$  and hence we have  $\{0\} \times M_2 = \bigcap_{i=1}^{\infty} (N_1 \times M_2 :_{R_1 \times R_2} M_1 \times M_2)^i (N_1 \times M_2) = \phi_w(N_1 \times M_2) \subseteq \phi(N_1 \times M_2)$ , and by Proposition 3.10, we have  $N_1 \times M_2$  is a  $\phi$ -primary submodule of  $M_1 \times M_2$ .

Theorem 3.12. Let  $R = R_1 \times R_2$  such that each  $R_i$  is a commutative ring with identity. Let  $M_i$  be  $R_i$ -module  $\forall i \in \{1,2\}$ , and  $M = M_1 \times M_2$  with  $(r_1, r_2)(m_1, m_2) = (r_1m_1, r_2m_2)$ , be an R-module, where  $r_i \in R_i$ ,  $m_i \in M_i$   $\forall i \in \{1,2\}$ , and let  $\psi_i : S(M) \to S(M) \cup \{\emptyset\}$  be a functions,  $\phi = \psi_1 \times \psi_2$ . Then each of the following types are  $\phi$ -primary submodules of  $M_1 \times M_2$ ,

- (i)  $N_1 \times N_2$  where  $N_i$  is a proper submodule of  $M_i$ , with  $\psi_i(N_i) = N_i$ .
- (ii)  $P_1 \times M_2$  where  $P_1$  is a primary submodule of  $M_1$ .

- (iii)  $P_1 \times M_2$  where  $P_1$  is a  $\psi_1$ -primary submodule of  $M_1$  and  $\psi_2(M_2) = M_2$ .
- (iv)  $M_1 \times P_2$  where  $P_2$  is a primary submodule of  $M_2$ .
- (v)  $M_1 \times P_2$  where  $P_2$  is a  $\psi_2$ -primary submodule of  $M_2$  and  $\psi_1(M_1) = M_1$ .

*Proof.* (i) is clear, since  $N_1 \times N_2$  -  $\phi(N_1 \times N_2) = \emptyset$ 

- (ii) If  $P_1$  is a primary submodule of  $M_1$ , then by Theorem 3.8,  $P_1 \times M_2$  a primary submodule of  $M_1 \times M_2$ , and thus  $P_1 \times M_2$  is  $\phi$ -primary submodule of M.
- (iii) Let  $P_1$  be a  $\psi_1$ -primary submodule of  $M_1$  and  $\psi_2(M_2) = M_2$ . Let  $(r_1, r_2) \in R$  and  $(x_1, x_2) \in M$  be such that  $(r_1, r_2)(x_1, x_2) = (r_1x_1, r_2x_2) \in P_1 \times M_2 \phi(P_1 \times M_2) = P_1 \times M_2 \psi_1(P_1) \times \psi_2(M_2) = P_1 \times M_2 \psi_1(P_1) \times M_2 = (P_1 \psi_1(P_1)) \times M_2$ . So  $r_1x_1 \in P_1 \psi_1(P_1)$  but  $P_1$  is  $\psi_1$  primary submodule, so  $r_1^k \in (P_1 :_{R_1} M_1)$  for some  $k \in \mathbb{N}$  or  $x_1 \in P_1$ . Therefore  $(r_1, r_2)^k \in (P_1 :_{R_1} M_1) \times R_2 = (P_1 \times M_2 :_{R_1 \times R_2} M_1 \times M_2)$  or  $(x_1, x_2) \in P_1 \times M_2$ . So  $P_1 \times M_2$  is a  $\phi$ -primary submodule of  $M_1 \times M_2$ .

Parts (iv), (v) are proved similar to (ii), (iii) respectively.

**Theorem 3.13.** Let N be a proper submodule of M and let  $\phi: S(M) \to S(M) \cup \{\emptyset\}$  be a function. Then the following are equivalent:

- (i) N is  $\phi$  primary submodule of M.
- (ii) For  $r \in R \sqrt{(N:M)}$ ,  $(N:(r)) = N \cup (\phi(N):(r))$ .
- (iii) For  $r \in R \sqrt{(N:M)}$ , (N:(r)) = N or  $(N:(r)) = (\phi(N):(r))$ .

*Proof.* (i) $\Longrightarrow$ (ii) Suppose that N is  $\phi$  - primary such that  $r \notin \sqrt{(N:M)}$ . Let  $m \in (N:(r))$ . So  $rm \in N$ . If  $rm \notin \phi(N)$ , then N is  $\phi$  - primary implies  $m \in N$ , and if  $rm \in \phi(N)$ , then  $m \in (\phi(N):(r))$ . Hence  $(N:(r)) \subseteq N \cup (\phi(N):(r))$ . The other inclusion hold trivially, since  $\phi(N) \subseteq N$ .

- (ii)  $\Longrightarrow$  (iii) It is clear because (N : (r)) is an ideal of R.
- (iii)  $\Longrightarrow$  (i) Let  $r \in R$ ,  $m \in M$  such that  $rm \in N \phi(N)$ . If  $r \notin \sqrt{(N:M)}$ , then by assumption, either (N:(r)) = N or  $(N:(r)) = (\phi(N):(r))$ . As  $rm \notin \phi(N)$ , then  $m \notin (\phi(N):(r))$  and as  $rm \in N$ , then  $m \in (N:(r))$ . Hence (N:(r)) = N, and so  $m \in N$  as required.

**Theorem 3.14.** Let M be an R-module and let N be a proper submodule of M. If for any ideal I of R and submodule K of M with  $IK \subseteq N$  and  $IK \nsubseteq \phi(N)$ , we have  $I \subseteq \sqrt{(N:M)}$  or  $K \subseteq N$ , then N is  $\phi$  - primary submodule of M.

*Proof.* Suppose that  $rm \in N$  -  $\phi(N)$  for  $r \in R$  and  $m \in N$ . Then  $(r)(m) = (rm) \subseteq N - \phi(N)$ . By the assumption, either  $(m) \subseteq N$  or  $(r) \subseteq \sqrt{(N:M)}$ . Therefore,  $m \in N$  or  $r \in \sqrt{(N:M)}$  and N is  $\phi$ -primary submodule of M.

**Proposition 3.15.** Let N be a submodule of M with  $(N:M) = \sqrt{(N:M)}$ , then N is  $\phi$ -primary if and only if N is  $\phi$ -prime.

*Proof.* Trivial from the definitions of  $\phi$ -prime and  $\phi$ -primary submodules.

**Theorem 3.16.** Let M be an R-module and let  $\phi: S(M) \longrightarrow S(M) \cup \{\emptyset\}$ . Let P be a  $\phi$ -primary submodule of M.

- (i) If  $L \subseteq P$  is a submodule of M, then P/L is a  $\phi_L$ -primary submodule of M/L.
- (ii) Suppose that S is a multiplicatively closed subset of R such that  $S^{-1}P \neq S^{-1}M$  and  $S^{-1}(\phi(P)) \subseteq (S^{-1}\phi)(S^{-1}P)$  and  $(P:M) \cap S = \emptyset$ . Then  $S^{-1}P$  is an  $(S^{-1}\phi)$ -primary submodule of  $S^{-1}M$ .

*Proof.* (i) Let  $a \in \mathbb{R}$  and  $\bar{x} \in \mathbb{M}/\mathbb{L}$  with a  $\bar{x} \in \mathbb{P}/\mathbb{L}$  -  $\phi_L(P/L)$ , where  $\bar{x} = x + L$ , for some  $x \in \mathbb{M}$ . By the definition of  $\phi_L$ , this gives that  $ax \in \mathbb{P}$  -  $\phi(P)$ , which gives that  $a^k \in (P:M)$  for some  $k \in \mathbb{N}$  or  $x \in \mathbb{P}$ . Therefor  $a^k \in (P/L:M/L)$  for some  $k \in \mathbb{N}$  or  $\overline{x} \in \mathbb{P}/\mathbb{L}$  and so  $\mathbb{P}/\mathbb{L}$  is  $\phi_L$ -primary submodule.

(ii) Let  $a/s \in S^{-1}R$  and  $x/t \in S^{-1}M$  with  $ax/st \in S^{-1}P$  -  $(S^{-1}\phi)(S^{-1}P)$ . Then by our assumption  $ax/st \in S^{-1}P$  -  $S^{-1}(\phi(P))$ . Therefore there exists  $u \in S$  such that  $uax \in P$  -

 $\phi(P)$ , (note that for each  $v \in S$ ,  $vax \notin \phi(P)$ ). Since P is  $\phi$  - primary and  $(P:M) \cap S = \emptyset$ , we have  $(ua)^k \in (P:M)$  for some  $k \in \mathbb{N}$  or  $x \in P$ . Therefore  $(a/s)^k \in S^{-1}((P:_R M)) \subseteq (S^{-1}P:_{S^{-1}R}S^{-1}M)$  for some  $k \in \mathbb{N}$  (because  $(P:M) \subseteq (S^{-1}P:_{S^{-1}M})$ ) or  $x/t \in S^{-1}P$ . Hence  $S^{-1}P$  is an  $(S^{-1}\phi)$ -primary submodule of  $S^{-1}M$ .

#### 4 $\phi$ - Primal Submodules

The concept of  $\phi$  - primal ideals in a commutative ring was introduced by A.Y. Darani in [8]. Let R be a commutative ring with identity. Let  $\phi: \mathbb{J}(R) \to \mathbb{J}(R) \cup \{\emptyset\}$  be a function where  $\mathbb{J}(R)$  denotes the set of all ideals of R. Let I be an ideal of R. An element  $a \in R$  is called  $\phi$  - prime to I if  $ra \in I - \phi(I)$  (with  $r \in R$ ) implies that  $r \in I$ . We denote by  $S_{\phi}(I)$  the set of all elements of R that are not  $\phi$  - prime to I. I is called a  $\phi$  - primal ideal of R if the set  $P = S_{\phi}(I) \cup \phi(I)$  forms an ideal of R. In this case P is called the  $\phi$  - prime adjoint ideal (simply adjoint ideal) of I, and I is called a P- $\phi$ -primal ideal of R.

Now we generalize the concept of  $\phi$  -primal ideals to  $\phi$  - primal submodules. Let M be R-module, let S(M) be the set of all submodule of M and  $\phi: S(M) \to S(M) \cup \{\emptyset\}$  be a function.

**Definition 4.1.** Let N be a submodule of R-module M and  $\phi : S(M) \to S(M) \cup \{\emptyset\}$  be a function. An element  $r \in R$  is called  $\phi$ -prime to N if  $rm \in N$  -  $\phi(N)$  (with  $m \in M$ ) implies that  $m \in N$ . Otherwise r is not  $\phi$ -prime to N.

Remarks 4.2. Let N be a submodule of R-module M. Denote by  $S_{\emptyset}(N)$  the set of all elements of R that are not  $\phi$ - prime to N, then

- (1) If an element of R is prime to N then it is  $\phi$  prime to N, so  $S_{\emptyset}(N) \subseteq adj(N) = S(N)$ . (2) The converse of (1) is not necessarily true in general. For example consider the  $\mathbb{Z}$ -module  $M = \mathbb{Z}/24\mathbb{Z}$ , its submodule  $N = 8\mathbb{Z}/24\mathbb{Z}$  and assume that  $\phi = \phi_0$  where  $\phi_0(N) = 0$ . Denote each coset  $a + 24\mathbb{Z}$  in M by  $\overline{a}$ . Then, as  $6.\overline{12} = \overline{0} \in \mathbb{N}$  and  $\overline{12} \in \mathbb{M}$  - N, so 6 is not prime to N. But if  $6.\overline{a} \in \mathbb{N}$  for some  $\overline{a} \in \mathbb{M}$ , then 4 divides a. Hence  $6.\overline{a} = \overline{0}$ . This implies that 6 is  $\phi_0$ -prime to N. Thus, we have  $adj(N) \not\subseteq S_{\emptyset}(\mathbb{N})$ .
- **Definition 4.3.** Let R be a commutative ring and let  $\phi : S(M) \to S(M) \cup \{\emptyset\}$  be a function. A proper submodule N of M is said to be a  $\phi$ -primal if the set  $P = S_{\phi}(N) \cup \phi(N)$  forms an ideal of R.

**Example 4.4.** Let R be a commutative ring. Let M be an R-module. Let S(M) be the set of all submodules of M. Define the following type of the functions  $\phi_{\alpha}: S(M) \longrightarrow S(M) \cup \{\emptyset\}$  and the corresponding  $\phi_{\alpha}$  - primal submodules as follows:

- 1)  $\phi_{\emptyset}$ :  $\phi_{\emptyset}(N) = \emptyset$ ,  $\forall N \in S(M)$ , defines primal submodules.
- 2)  $\phi_0: \phi_0(N) = \{0\}, \forall N \in S(M), \text{ then defines weakly primal submodules.}$
- 3)  $\phi_1:\phi_1(N)=N, \forall N\in S(M), defines any submodule N.$
- 4)  $\phi_2: \phi_2(N) = (N:M)N, \forall N \in S(M), defines almost primal submodules.$
- 5)  $\phi_w:\phi_w(N)=\bigcap_{i=1}^{\infty}(N:M)^iN, \ \forall \ N\in S(M), \ defines \ \phi_w$ -primal submodule.
- 6)  $\phi_n: \phi_n(N) = (N:M)^{n-1}N, \forall n \geq 2, \forall N \in S(M), defines n-almost primal submodules.$

**Theorem 4.5.** Let P be an ideal of a commutative ring R. Let N be a proper submodule of R-module M. Let  $\phi: S(M) \to S(M) \cup \{\emptyset\}$  be a function. Then the following are equivalent: (1) N is P- $\phi$ -primal submodule.

- (2) For every  $x \notin P \phi(N)$ ,  $(N : x) = N \cup (\phi(N) : x)$  and for  $x \in P \phi(N)$ ,
- $(N:x) \supseteq N \bigcup (\phi(N):x).$
- (3) For every  $x \notin P$   $\phi(N)$ , (N:x) = N or  $(N:x) = (\phi(N):x)$  and for  $x \in P$   $\phi(N)$ ,  $(N:x) \supseteq N$  and  $(N:x) \supseteq (\phi(N):x)$ .

*Proof.*  $(1 \to 2)$  Assume that N is P- $\phi$ -primal submodule then P -  $\phi(N)$  consists entirely of elements of R that are not  $\phi$  - prime to N. Let  $x \notin P$  -  $\phi(N)$  then x is  $\phi$ -prime to N. Clearly  $N \cup (\phi(N) : x) \subseteq (N : x)$ . On the other hand, for every  $m \in (N : x)$ , if  $mx \in \phi(N)$  then m

- $\in (\phi(N):x)$  and if  $\max \not\in \phi(N)$  then x is  $\phi$  prime to N gives  $m \in N$ . Hence  $m \in N \bigcup (\phi(N):x)$ , that is  $(N:x) \subseteq N \bigcup (\phi(N):x)$ . Therefor  $(N:x) = N \bigcup (\phi(N):x)$ . Now assume that  $x \in P \phi(N)$  then x is not  $\phi$  prime to N, so  $\exists m \in M$  N such that  $\max \in N \phi(N)$ . Hence  $m \in (N:x) (N \bigcup (\phi(N):x))$ . Thus  $(N:x) \supseteq N \bigcup (\phi(N):x)$   $(2 \to 3)$  It is clear because (N:x) is an ideal in R.
- $(3 \to 1)$  We want to prove that P- $\phi(N)$  consists exactly of all elements of R that are not  $\phi$ -prime to N. Hence N is P- $\phi$ -primal.

Let  $x \notin P-\phi(N)$ , then  $(N:x) = N \cup (\phi(N):x)$ . We want to prove that  $x \notin S_{\phi}(N)$ . Let  $xm \in N - \phi(N)$  with  $m \in M$ . So,  $m \in (N:x)$ . By assumption, either (N:x) = N or  $(N:x) = (\phi(N):x)$ . As  $xm \in N-\phi(N)$ , so  $m \notin (\phi(N):x)$ . Thus,  $m \in N$  and hence,  $x \notin S_{\phi}(N)$ . Conversely, let  $x \in P - \phi(N)$ , then  $(N:x) \supseteq N \cup (\phi(N):x)$ , so,  $\exists m \in (N:x)$  such that  $m \notin (N \cup (\phi(N):x))$ . Therefore,  $m \notin N$  and  $m \notin (\phi(N):x)$ . Thus  $xm \in N-\phi(N)$  with  $m \notin N$ , so x is not  $\phi$ -prime to N and hence  $x \in S_{\phi}(N)$ . Hence N is  $P-\phi$ -primal submodule.  $\square$ 

**Proposition 4.6.** Let R be a commutative ring and M be R-module. Let  $\phi: S(M) \to S(M) \cup \{\emptyset\}$  be a function. If N is  $\phi$ -primal submodule of M then  $P = S_{\emptyset}(N) \cup \phi(N)$  is  $\phi$ -prime ideal of R.

*Proof.* Suppose that r,s  $\notin$  P, we show that either rs ∈  $\phi(P)$  or rs  $\notin$  P. Assume that rs  $\notin$   $\phi(P)$ . Let rsm ∈ N -  $\phi(N)$  for some m ∈ M. Then, by Theorem 4.5 gives that rm ∈ (N : s)= N  $\bigcup$  ( $\phi(N)$  : s) where rm  $\notin$  ( $\phi(N)$  : s); hence rm ∈ N which implies that rm ∈ N - $\phi(N)$ . Thus m ∈ (N : r) = N  $\bigcup$  ( $\phi(N)$  : r), and so m ∈ N. Therefore, rs is  $\phi$ -prime to N and rs  $\notin$  P as required.

Notation 4.7. Let N be a  $\phi$ -primal submodule of R-module M. By Proposition 4.6, P =  $S_{\emptyset}(N) \cup \phi(N)$  is  $\phi$ -prime ideal of R. In this case P is called the  $\phi$ -prime adjoint ideal and N is called a P- $\phi$ -primal submodule of M.

The concepts "primal submodule" and " $\phi$ -primal submodule" are different. In fact, neither implies the other. We will show this by the following examples, in Example 4.8 below we give a primal submodule that is not  $\phi$  - primal. An example of  $\phi$ - primal submodule which is not primal is given in Example 4.9.

- **Example 4.8.** [5],[8] Consider the submodule  $N = 8\mathbb{Z}/24\mathbb{Z}$  of  $\mathbb{Z}$ -module  $M = \mathbb{Z}/24\mathbb{Z}$ . Denote each coset  $a + 24\mathbb{Z}$  in M by  $\overline{a}$ . Let  $\phi = \phi_0$  (weakly primal).
- (1) since  $0 \neq 2.\overline{4} \in N$  and  $0 \neq 4.\overline{2} \in N$  with  $\overline{2}$ ,  $\overline{4} \in M$ -N we have  $2,4 \in S_{\phi_0}(N)$ . If  $6.\overline{a} \in N$  for some  $\overline{a} \in M$  then 4 divides a and hence  $6.\overline{a} = 0$ . This shows that 2+4 = 6 is  $\phi_0$  prime to N so  $6 \notin S_{\phi_0}(N)$ . Therefor  $S_{\phi}(N) \cup \phi(N)$  is not an ideal of  $\mathbb{Z}$ , that is N is not  $\phi$ -primal submodule of M.
- (2) Now set  $P = 2\mathbb{Z}/24\mathbb{Z}$  then every element of P is not prime to N. Assume that  $\overline{a} \notin P$ , if  $\overline{a}.\overline{n} \in N$  for some  $\overline{n} \in M$  then 8 divides n, that is  $\overline{n} \in N$ . Hence  $\overline{a}$  is prime to N so  $\overline{a} \notin S(N) = adj(N)$ . So we have S(N) = P, that is N is P-primal submodule. This example show that a primal submodule need not necessarily be  $\phi$ -primal.
- **Example 4.9.** [5] Consider the  $\mathbb{Z}$ -module  $M = \mathbb{Z}_6$  and denote every integer a modulo 6 by  $\overline{a}$ . Consider the submodule  $N = \{0\}$  of M and let  $\phi = \phi_0$  then:
- (1) 0 is weakly prime to N so  $S_{\phi_0}(N) = \emptyset$ . Thus N is weakly primal submodule of M.
- (2) Since  $2.\overline{3} = \overline{0} \in N$  and  $3.\overline{2} = \overline{0} \in N$ , so  $2,3 \in S_{\emptyset}(N)$  while 3-2 = 1 is prime to N, so we have  $1 \notin S(N)$ . Therefore N is not a primal submodule of M.

This example shows that  $\phi$  -primal submodule need not necessarily be primal.

**Theorem 4.10.** Let M be R-module and  $\phi: S(M) \to S(M) \cup \{\emptyset\}$  be a function. Let N and L be submodules of M with  $L \subseteq \phi(N)$  then N is a  $\phi$ -primal submodule of M iff N/L is a  $\phi_L$ -primal submodule of M/L.

*Proof.* Assume N is P- $\phi$ -primal submodule. Suppose that a+L is an element of M/L that is not  $\phi_L$  - prime to N/L, so there exists  $b \in M$  - N with  $(a+L)(b+L) \in N/L$  -  $\phi_L(N/L)$ .

In this case  $ab \in N - \phi(N)$  with  $b \in M - N$  implies that a is not  $\phi$  - prime to N. Hence  $a \in S_{\phi}(N) \subseteq P$  and so  $a + L \in P/L$ . Now assume that  $c + L \in P/L$  then  $c \in P = S_{\phi}(N) \cup \phi(N)$ . If  $c \in \phi(N)$  then  $c + L \in \phi_L(N/L)$ , so assume that  $c \in S_{\phi}(N)$ , that is c is not  $\phi$  - prime to N then  $cd \in N - \phi(N)$  for some  $d \in M - N$ . Consequently,  $(c + L)(d + L) \in N/L - (\phi(N)/L) = N/L - \phi_L(N/L)$  with  $d + L \in M/L - N/L$ . This implies that c + L is not  $\phi_L$  - prime to N/L, so  $c + L \in S_{\phi_L}(N/L)$ . We have already shown that  $P/L = S_{\phi_L}(N/L) \cup \phi_L(N/L)$ . Therefore N/L is  $\phi_L$  - primal.

Conversely, suppose that N/L is  $\phi_L$  - primal in M/L with the adjoint ideal P/L. For every a  $\in$  P -  $\phi$ (N) we have a + L  $\in$  P/L -  $\phi_L$ (N/L) =  $S_{\phi_L}$ (N/L), so a + L is not  $\phi_L$ -prime to N/L, thus (a + L)(b + L)  $\in$  N/L -  $\phi_L$ (N/L) for some b + L  $\in$  M/L - N/L. In this case b  $\in$  M - N and ab  $\in$  N -  $\phi$ (N) implies that a is not  $\phi$ -prime to N. On the other hand, assume that c  $\in$  R is not  $\phi$ -prime to N then cd  $\in$  N -  $\phi$ (N) for some d  $\in$  M - N so we have (c + L)(d + L)  $\in$  N/L -  $\phi_L$ (N/L) with d + L  $\notin$  N/L, that is c + L is not  $\phi_L$ -prime to N/L. Hence c + L  $\in$  P/L -  $\phi_L$ (N/L), so we have c  $\in$  P -  $\phi$ (N). It follows that P =  $S_{\phi}$ (N)  $\bigcup$   $\phi$ (N) which implies that N is P- $\phi$ -primal submodule of M.

Remark 4.11. [5] Let R be a commutative ring, M an R-module and S a multiplicatively closed set in R. If K is a submodule of  $S^{-1}M$ , define  $K \cap M = v^{-1}(K) = \{m \in M : m/1 \in K\}$ , where  $v : M \to S^{-1}M$  is the natural mapping  $m \mapsto m/1$ . Clearly,  $K \cap M$  is a submodule of M.

**Proposition 4.12.** Let R be a commutative ring and S a multiplicatively closed subset of R. Let  $\phi: S(M) \longrightarrow S(M) \cup \{\emptyset\}$  be a function. Let N be a P- $\phi$ -primal submodule of an R-module M with  $P \cap S = \emptyset$ .

- (1) Let  $\lambda = a/s \in S^{-1}N$   $S^{-1}(\phi(N))$  (with  $a \in M$ ,  $s \in S$ ), then  $a \in N$ .
- (2) If  $S^{-1}(\phi(N)) \neq S^{-1}N$  then  $N = S^{-1}N \cap M$ .

Proof. (1) Assume that  $\lambda = a/s \in S^{-1}N$  -  $S^{-1}(\phi(N))$  then a/s = b/t for some  $b \in N$ ,  $t \in S$ . In this case, since  $u \in S$  and  $b \in N$ , then  $uta = usb \in N$  for some  $u \in S$ . If  $uta \in \phi(N)$  then  $a/s = uta/uts \in S^{-1}(\phi(N))$  which is a contradiction, so we have  $uta \in N$  -  $\phi(N)$ . If a  $\notin N$  then ut is not  $\phi$ -prime to N, so  $ut \in P \cap S$  which contradicts the hypothesis. Therefore  $a \in N$ .

(2) Let  $m \in S^{-1}N \cap M$  then  $m/1 \in S^{-1}N$ , so  $\exists s \in S$  such that  $sm \in N$ . If  $sm \notin \phi(N)$  and  $m \notin N$  then s is not  $\phi$ -prime to N, so  $s \in P \cap S$ , which a contradiction. Thus  $m \in N$ . If  $sm \in \phi(N)$  then  $m/1 = sm/s \in S^{-1}(\phi(N))$  which implies that  $m \in S^{-1}(\phi(N)) \cap M$ . Therefore  $(S^{-1}N \cap M) = N \cup (S^{-1}(\phi(N) \cap M), so (S^{-1}N \cap M) = N \text{ or } (S^{-1}N \cap M) = ((S^{-1}\phi(N)) \cap M)$ . But  $S^{-1}N \in S^{-1}(\phi(N))$ , so  $S^{-1}N \cap M \neq S^{-1}(\phi(N)) \cap M$ . Thus  $S^{-1}N \cap M = N$ .

# 5 $\phi$ -2-Absorbing Submodules

In this section, we introduce the concept of  $\phi$ -2-absorbing submodules which is a generalization to concept of 2-absorbing submodules. Let R be a commutative ring with identity and M be a unitary R-module. Let S(M) be the set of all submodules of M, and  $\phi : S(M) \to S(M) \cup \{\emptyset\}$  be a function.

**Definition 5.1.** A proper submodule N of M is called  $\phi$ -2-absorbing submodule if r,s  $\in$  R, m  $\in$  M with rsm  $\in$  N -  $\phi(N)$  implies that rs  $\in$  (N : M) or rm  $\in$  N or sm  $\in$  N.

Example 5.2. Let R be a commutative ring. Let M be an R-module. Let S(M) be the set of all submodules of M. Define the following type of the functions  $\phi_{\alpha}: S(M) \longrightarrow S(M) \cup \{\emptyset\}$  and the corresponding  $\phi_{\alpha}$  - primal submodules as follows:

- 1)  $\phi_{\emptyset}$ :  $\phi_{\emptyset}(N) = \emptyset$ ,  $\forall N \in S(M)$ , defines 2-absorbing submodules.
- 2)  $\phi_0: \phi_0(N) = \{0\}, \forall N \in S(M), \text{ then defines weakly 2-absorbing submodules.}$
- 3)  $\phi_1:\phi_1(N)=N, \forall N\in S(M), defines any submodule N.$

- 4)  $\phi_2: \phi_2(N) = (N:M)N, \forall N \in S(M), defines almost 2-absorbing submodules.$
- 5)  $\phi_w:\phi_w(N)=\bigcap_{i=1}^\infty(N:M)^iN, \ \forall \ N\in S(M), \ defines \ \phi_w$ -2-absorbing submodule.
- 6)  $\phi_n: \phi_n(N) = (N:M)^{n-1}N, \forall n \geq 2, \forall N \in S(M), defines n-almost 2-absorbing submodules.$

Remarks 5.3. (1) every 2-absorbing submodule is  $\phi$ -2-absorbing submodule but the converse need not be true in general. For example, let  $M = \mathbb{Z}_8$  be a  $\mathbb{Z}$  module and let  $N = \{0\}$ . N is  $\phi_0$ (weakly)-2-absorbing submodule but not 2-absorbing submodule because  $2.2.2 = 0 \in N$  and  $4 \notin N$  and  $4 \notin (N : M) = \{8n : n \in \mathbb{Z}\}$ .

(2) Observe that  $\phi_{\emptyset} \leq \phi_0 \leq \phi_w \leq ... \leq \phi_{n+1} \leq \phi_n \leq ... \leq \phi_2 \leq \phi_1$ .

**Proposition 5.4.** Let R be a commutative ring and N be a submodule of R - module M. (1) Let  $\psi_1, \psi_2 : S(M) \longrightarrow S(M) \cup \{\emptyset\}$  be functions with  $\psi_1 \leq \psi_2$ . Then N is  $\psi_1$ -2-absorbing submodule implies N is  $\psi_2$ -2-absorbing.

(2) N is 2-absorbing  $\implies$  N is weakly 2-absorbing  $\implies$  N is  $\phi_w$ - 2-absorbing  $\implies$  N is  $\phi_{n+1}$ -2-absorbing  $\implies \phi_n$ -2-absorbing ( $n \ge 2$ )  $\implies$  N is  $\phi_2$ -2-absorbing.

*Proof.* (1) Assume that N is  $\phi_1$ -2-absorbing submodule of M. Let  $rsm \in N - \phi_2(N)$  for r,s  $\in \mathbb{R}$ ,  $m \in M$  then  $rsm \in N - \phi_1(N)$ . Since N is  $\phi_1$  -2-absorbing,  $rs \in (\mathbb{N} : M)$  or  $rm \in \mathbb{N}$  or  $rsm \in \mathbb{N}$ . Hence N is  $\phi_2$ -2-absorbing submodule of M.

(2) This follows from (1) and the ordering of the  $\phi_{\alpha}\prime$  s given in Example 5.2 and Remarks 5.3.

**Theorem 5.5.** Let  $\phi: S(M) \to S(M) \cup \{\emptyset\}$  be function. Let N be a  $\phi$ -2-absorbing submodule of M. If  $(N:M)N \nsubseteq \phi(N)$ , then N is a 2-absorbing submodule of M.

Proof. Let  $r,s \in R$  and  $m \in M$  be such that  $rsm \in N$ . If  $rsm \notin \phi(N)$  and since N is  $\phi$ -2-absorbing then we have  $rs \in (N:_R M)$  or  $rm \in N$  or  $sm \in N$ . So let  $rsm \in \phi(N)$ . In this case we may assume that  $rsN \subseteq \phi(N)$ . Because if  $rsN \nsubseteq \phi(N)$ , then there exists  $p \in N$  such that  $rsp \notin \phi(N)$ , so that  $rs(m+p) \in N - \phi(N)$ . Therefore  $rs \in (N:M)$  or  $r(m+p) \in N$  or  $s(m+p) \in N$  and hence  $rs \in (N:M)$  or  $rm \in N$  or  $sm \in N$ . Second we may assume that  $(N:M)m \in \phi(N)$ . If this is not the case, there exists  $u \in (N:M)$  such that  $um \notin \phi(N)$  and so  $(r+u)sm \in N - \phi(N)$ . Since N is a  $\phi$ -2-absorbing submodule, we have  $(r+u)s \in (N:M)$  or  $(r+u)m \in N$  or  $sm \in N$ . Thus  $rs \in (N:M)$  or  $rm \in N$  or  $sm \in N$ . Now since  $(N:_R M)N \nsubseteq \phi(N)$ , there exist  $v \in (N:M)$  and  $p \in N$  such that  $vp \notin \phi(N)$ . So  $(r+v)s(m+p) \in N - \phi(N)$ , and hence  $(r+v)s \in (N:M)$  or  $(r+v)(m+p) \in N$  or  $s(m+p) \in N$ . Therefore  $rs \in (N:M)$  or  $rm \in N$  or  $sm \in N$ . Thus N is 2-absorbing submodule. □

Corollary 5.6. Let N be a weakly 2-absorbing submodule of M such that  $(N :_R M)N \neq 0$ . Then N is a 2-absorbing submodule of M.

*Proof.* In the above Theorem set  $\phi = \phi_0$ .

Remark 5.7. Suppose that N is a  $\phi$ -2-absorbing submodule of M such that  $\phi(N) \subseteq (N : M)N$  and N is not 2-absorbing submodule then by Theorem 5.5, we have  $\phi(N) = (N : M)N$ . In particular if N is weakly 2-absorbing submodule but not 2-absorbing then (N : M)N = 0.

**Theorem 5.8.** [13] Let  $R = R_1 \times R_2$  such that each  $R_i$  is a commutative ring with identity. Let  $M_i$  be  $R_i$ -module  $\forall i \in \{1,2\}$  and  $M = M_1 \times M_2$  be an R-module with  $(r_1, r_2)(m_1, m_2) = (r_1m_1, r_2m_2)$ , where  $r_i \in R_i$ ,  $m_i \in M_i$   $\forall i \in \{1,2\}$ . Then we have:

- (1) If  $N_1$  is a 2-absorbing submodule of  $M_1$ , then  $N_1 \times M_2$  is a 2-absorbing submodule of M.
- (2) If  $N_2$  is a 2-absorbing submodule of  $M_2$ , then  $M_1 \times N_2$  is a 2-absorbing submodule of M.

*Proof.* Because the proof of (1) and (2) are similar, So we only prove (1). Hence suppose that  $N_1$  is a 2-absorbing submodule of  $M_1$  and let  $r_1, s_1 \in R_1, r_2, s_2 \in R_2, m_1 \in M_1$  and  $m_2$ 

 $\in M_2 \text{ such that } (r_1,r_2)(s_1,s_2)(m_1,m_2) = (r_1s_1m_1,r_2s_2m_2) \in N_1 \times M_2. \text{ then } r_1s_1m_1 \in N_1.$  Since  $N_1$  is 2-absorbing submodule of  $M_1$ , So  $r_1s_1 \in (N_1:M_1)$  or  $r_1m_1 \in N_1$  or  $s_1m_1 \in N_1$ . So  $(r_1,r_2)(s_1,s_2) = (r_1s_1,r_2s_2) \in (N_1:M_1) \times (M_2:M_2) = (N_1 \times M_2:M_1 \times M_2)$  or  $(r_1,r_2)(m_1,m_2) \in N_1 \times M_2$  or  $(s_1,s_2)(m_1,m_2) \in N_1 \times M_2$ . Hence  $N_1 \times M_2$  is 2-absorbing submodule of M.

Example 5.9. The above theorem is not true for correspondence  $\phi$  - 2-absorbing submodules in general, for example if  $N_1$  is a  $\phi_0$ -2-absorbing submodule of  $M_1$  then  $N_1 \times M_2$  is not necessarily a  $\phi_0$  - 2-absorbing submodule of  $M_1 \times M_2$ . Let  $R_1 = R_2 = M_1 = M_2 = \mathbb{Z}_8$  and suppose that  $N_1 = \{0\}$  then evidently  $N_1$  is a  $\phi_0$ -2-absorbing submodule of  $M_1$ . However,  $0 \neq (2,1)(2,1)(2,1) \in N_1 \times M_2$  and  $(2,1)(2,1) = (4,1) \notin (N_1 \times M_2 : M_1 \times M_2)$  and  $(2,1)(2,1) \notin N_1 \times M_2$ . Thus  $N_1 \times M_2$  is not  $\phi_0$ -absorbing submodule of M.

**Proposition 5.10.** Let  $R_1$  and  $R_2$  be two commutative rings,  $M_1$  and  $M_2$  be  $R_1$  and  $R_2$  -modules respectively. Let  $M = M_1 \times M_2$  and define  $\phi : S(M) \longrightarrow S(M) \cup \{\emptyset\}$  be a function. Suppose that  $N_1$  is a weakly 2-absorbing submodule of  $M_1$  such that  $\{0\} \times M_2 \subseteq \phi(N_1 \times M_2)$ . Then  $N_1 \times M_2$  is a  $\phi$ -2-absorbing submodule of  $M_1 \times M_2$ .

Proof. Let  $r_1, s_1 \in R_1, \ r_2, s_2 \in R_2, \ x_1 \in M_1 \ \text{and} \ x_2 \in M_2$ . Let  $(r_1, r_2)(s_1, s_2)(x_1, x_2) = (r_1s_1x_1, r_2s_2x_2) \in N_1 \times M_2 - \phi(N_1 \times M_2)$ . Since  $N_1 \times M_2 - \phi(N_1 \times M_2) \subseteq N_1 \times M_2 - \{0\} \times M_2 = (N_1 - \{0\}) \times M_2$ , so we have  $r_1s_1x_1 \in N_1 - \{0\}$  and by the assumption on  $N_1$  we have  $r_1s_1 \in (N_1 :_{R_1} M_1)$  or  $r_1x_1 \in N_1$  or  $s_1x_1 \in N_1$ . If  $r_1s_1 \in (N_1 :_{R_1} M_1)$  then  $(r_1, r_2)(s_1, s_2) = (r_1s_1, r_2s_2) \in (N_1 :_{R_1} M_1) \times R_2 = (N_1 \times M_2 :_{R_1 \times R_2} M_1 \times M_2)$ . If  $r_1x_2 \in N_1$  then  $(r_1, r_2)(x_1, x_2) = (r_1x_1, r_2x_2) \in N_1 \times M_2$ . If  $s_1x_1 \in N_1$  then  $(s_1, s_2)(x_1, s_2) = (s_1x_1, s_2x_2) \in N_1 \times M_2$ . Therefore  $N_1 \times M_2$  is  $\phi$ -2-absorbing submodule of M.

In the next theorem we give characterizations of  $\phi$  -2-absorbing submodules.

**Theorem 5.11.** Let N be a proper submodule of M and let  $\phi : S(M) \to S(M) \cup \{\emptyset\}$  be a function. Then the following are equivalent:

- (i) N is a  $\phi$ -2-absorbing submodule of M;
- (ii) for any  $r,s \in R$ , with  $rs \notin (N:M)$ , we have  $(N:rs) = (N:r) \cup (N:s) \cup (\phi(N):rs)$ ; (iii) for any  $r,s \in R$ , with  $rs \notin (N:M)$ , we have, (N:rs) = (N:r) or  $(N:rs) = (\phi(N):s)$  or  $(N:rs) = (\phi(N):rs)$ .

*Proof.* (i) $\Longrightarrow$ (ii) Let  $m \in (N : rs)$  then  $rsm \in N$ . If  $rsm \notin \phi(N)$  then N is a  $\phi$ -2-absorbing submodule of M implies  $rm \in N$  or  $sm \in N$ , that is  $m \in (N : r)$  or  $m \in (N : s)$ . If  $rsm \in \phi(N)$  then  $m \in (\phi(N) : rs)$ . As we may assume that  $\phi(N) \subseteq N$ , the other inclusion always hold.

- (ii)  $\longrightarrow$  (iii) If an ideal is the union of two ideals, it is equal to one of them.
- (iii) $\Longrightarrow$ (i) Let rsm  $\in$  N  $\phi$ (N) with rs  $\notin$  (N : M) then m  $\in$  (N : rs) and m  $\notin$  ( $\phi$ (N) :rs), so m  $\in$  (N : r) or m  $\in$  (N : s) that is, rm  $\in$  N or sm  $\in$  N.

**Theorem 5.12.** Let M be an R-module and let  $\phi: S(M) \to S(M) \cup \{\emptyset\}$  be a function. Let N be a  $\phi$ -2-absorbing submodule of M.

- (i) If  $L \subseteq N$  is a submodule of M, then N/L is a  $\phi_L$ -2-absorbing submodule of M/L.
- (ii) Suppose that S is a multiplicatively closed subset of R such that  $S^{-1}N \neq S^{-1}M$  and  $S^{-1}(\phi(N)) \subseteq (S^{-1}\phi)(S^{-1}N)$  with  $(N:_R M) \cap S = \emptyset$ . Let  $S^{-1}\phi : S(S^{-1}M) \longrightarrow S(S^{-1}M) \cup \{\emptyset\}$ . Then  $S^{-1}N$  is an  $(S^{-1}\phi)$ -2-absorbing submodule of  $S^{-1}M$ .
- *Proof.* (i) Let  $r,s \in \mathbb{R}$  and  $\bar{x} \in M/L$  with  $rs\bar{x} \in N/L \phi_L(N/L)$ , where  $\bar{x} = x + L$ , for some  $x \in M$ . By the definition of  $\phi_L$ , this gives that  $rsx \in N (\phi(N) + L)$ . So we have  $rsx \in N \phi(N)$ , which gives that  $rs \in (N : M)$  or  $rx \in N$  or  $rx \in N/L$  or  $rx \in N/L$  or  $rx \in N/L$  and so N/L is  $\phi_L 2$ -absorbing submodule.
- (ii) Let a/s, b/w  $\in S^{-1}R$  and x/t  $\in S^{-1}M$  with abx/swt  $\in S^{-1}N$   $(S^{-1}\phi)(S^{-1}N)$ . Then by our assumption abx/swt  $\in S^{-1}N$   $S^{-1}(\phi(N))$ . Therefore there exists  $u \in S$  such that

uabx  $\in$  N -  $\phi(N)$ , (note that for each v  $\in$  S, vabx  $\notin \phi(N)$ ). Since N is  $\phi$ -2-absorbing submodule and  $(N:M) \cap S = \emptyset$ , we have uab  $\in$  (N : M) or ax  $\in$  N or bx  $\in$  N. Therefore ab/sw  $\in$  S<sup>-1</sup>(N :<sub>R</sub> M)  $\subseteq$  (S<sup>-1</sup>N :<sub>S<sup>-1</sup>R</sub> S<sup>-1</sup>M) or ax/st  $\in$  S<sup>-1</sup>N or bx/wt  $\in$  S<sup>-1</sup>N. Hence S<sup>-1</sup>N is an (S<sup>-1</sup> $\phi$ )-2-absorbing submodule of S<sup>-1</sup>M.

**Proposition 5.13.** Let  $R = R_1 \times R_2 \times ... \times R_n$  and  $M = M_1 \times M_2 \times ... \times M_n$  be an R-module, where  $R_i$  is a commutative ring and  $M_i$  is an  $R_i$ -module, for each  $i \in \{1, 2, ..., n\}$ . Let  $N = N_1 \times N_2 \times ... \times N_n$  be a  $\phi$ -2-absorbing submodule of M, where  $N_i$  is a submodule of  $M_i$  and let  $\psi_i : S(M_i) \longrightarrow S(M_i) \cup \{\emptyset\} \ \forall \ i \in \{1, 2, ..., n\}$  and  $\phi(N) = \psi_1(N_1) \times \psi_2(N_2) \times ... \times \psi_n(N_n)$ . Then  $N_i$  is a  $\psi_i$ -2-absorbing submodule of  $M_i$ , for each j with  $N_i \neq M_i$ .

*Proof.* Let  $x_j \in M_j$  and  $a_j, b_j \in R_j$  such that  $a_jb_j \ x_j \in N_j - \psi_j(N_j)$ . Thus  $(1,1,...,1,a_j,...,1)$ .  $(1,1,...,1,b_j,...,1).(0,0,...,0,x_j,...,0) = (0,0,...,0,a_jb_jx_j,...,0) \in N - \phi(N)$ , but N is  $\phi$ -2-absorbing submodule. Therefore,  $(1,1,...,1,a_j,...,1).(1,1,...,1,b_j,...,1) \in (N:M)$  or  $(1,1,...,1,a_j,...,1).(0,0,...,0,x_j,0,...,0) \in N$ . So we have  $a_jb_j \in (N_j:M_j)$  or  $a_jx_j \in N_j$  or  $b_jx_j \in N_j$ . Thus  $N_j$  is  $\psi_j$ -2-absorbing submodule for each j.

Corollary 5.14. Let  $R = R_1 \times R_2 \times ... \times R_n$  and  $M = M_1 \times M_2 \times ... \times M_n$  an R-module and  $N = N_1 \times N_2 \times ... \times N_n$ , where  $R_i$  is a commutative ring and  $M_i$  is an  $R_i$  - module and  $N_i$  is a submodule of  $M_i$ , for  $i \in \{1, 2, ..., n\}$ . Let N be a  $\phi_n$  -2-absorbing submodule of M. Then  $N_j$  is a  $\phi_n$  -2-absorbing submodule of  $M_j$ , for each j with  $N_j \neq M_j$  and  $n \geq 2$ .

Proof. We have  $\phi_n(N) = (N:M)^{n-1}N = (N_1:M)^{n-1}N_1 \times (N_2:M)^{n-1}N_2 \times ... \times (N_n:M)^{n-1}N_n$ =  $\phi_n(N_1) \times \phi_n(N_2) \times ... \times \phi_n(N_n)$ . So the result follows by Proposition 5.13.

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